

Meeting of the
BOARD OF TRUSTEES
OF NORTHERN ILLINOIS UNIVERSITY
September 18, 2014

1. CALL TO ORDER AND ROLL CALL

The meeting was called to order by Chair John Butler at 9:00 a.m. in the Board of Trustees Room, 315 Altgeld Hall. Recording Secretary Sharon Banks-Wilkins conducted a roll call of Trustees. Members present were Trustees Robert Boey, Anthony Iosco, Robert Marshall, Cherilyn Murer, Marc Strauss, Student Trustee Paul Julion and Chair Butler. Not present was Trustee Wheeler Coleman. Also present were President Douglas Baker and Board General Counsel Jerry Blakemore. With a quorum present, the meeting proceeded.

Chair Butler asked the recording secretary to call the roll. All Trustees were present except for Trustee Wheeler Coleman.

2. VERIFICATION OF APPROPRIATE NOTICE OF PUBLIC MEETING

Chair Butler asked Mr. Blakemore to confirm that appropriate notification of this meeting had been provided. Mr. Blakemore indicated that appropriate notification of the meeting had been provided pursuant to the Illinois Open Meetings Act.

3. MEETING AGENDA APPROVAL

Chair Butler called for a motion to approve the meeting agenda. Trustee Strauss made a motion to approve the printed agenda with the following modifications: first, to remove Item 10 and all of its sub-parts, and second to place the following items on a consent agenda: action items 9a 2, 3, 4, 5, 6, 7, 8 and 9, and information items 9b 1, 2, 3, 4, 5, 5, 6, 7, 8, 9, 10 and 11, 12 and 13. Trustee Marshall seconded the motion. Chair Butler called for any discussion and there was none. Chair Butler called for a vote with no opposition and the agenda was approved.

4. CLOSED SESSION

Chair Butler stated that the Board needed to go into closed session and would reconvene in approximately 90 minutes. He called for a motion to close the public meeting to conduct an executive session to discuss the following subjects authorized by the Illinois Open Meetings Act: personnel matters as generally described under sections 2C1, 2, 3 and 21 of the Open Meetings Act, and litigation and risk management matters as generally described under sections 2c11 and 12 of the Open Meetings Act. Trustee Strauss made the motion and Trustee Iosco seconded. As there was no discussion, Chair Butler called for a roll call vote and the recording secretary called the roll. All Trustees agreed and the motion was approved. Chair Butler closed the session.

MEETING RECONVENED

The meeting reconvened at 12:22 p.m. by Chair Butler moving to reopen the public meeting.

5. REVIEW AND APPROVAL OF MINUTES OF JUNE 19, 2014

Chair Butler next called for a review and approval of the minutes of the June 19, 2014 regular meeting. Trustee Strauss made the initial motion and Trustee Iosco seconded. Chair Butler called for any discussion and there was none so the minutes were approved.

6. CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair Butler stated that at this time we move to the chair's comments and announcements. I would like to begin by welcoming the members of the university advisory council who are present this morning. They are William Pitney, Deborah Haliczzer, Jay Montero, Greg Waas, Rebecca Shortridge, and Dan Gebo. Mr. Pitney, is there anything you or any of your colleagues would like to say at this time?

UAC Representative, William Pitney, said that as a member of this advisory committee, I also act as a role as our Faculty Senate President, and recently, Monday, I was at Illinois State University attending the Council of Illinois University Senators. On that council we have the opportunity to share issues, concerns and challenges that might be common to all of us in those roles. I just wanted to simply say that I left that meeting feeling really good about where we are here at NIU with respect to shared governance. I really just wanted to articulate that I believe that my dialogs with the president have been very positive and I feel a sense of collegiality and believe that there's a level of sensitivity with respect to the concerns of the faculty of staff and I just thought it important to articulate that.

Chair Butler then continued the meeting after no further remarks were offered. Well this morning I am going to take some time to offer some extended remarks and make some official announcements. I don't usually do this, but it's September and the school year has begun, a new academic term. It's an exciting time for many on campus. I found myself rather impressed when I was walking around campus the other evening with President Baker observing what I think is a remarkably different look and feel particularly in the center of campus, which seems to have opened up and become more lively. I would not have expected to feel that way based on plans that we approved in this meeting in the cold, hard chambers of the Board of Trustees, but when you walk out and actually see the banners and the library and the busses on Normal Avenue, it is a different feel. I also noted that every single student who walked by us knew President Baker and said "Good evening, President Baker" which I thought was extraordinary. Throughout the prior year and especially this summer, leaders at the university college and department level in partnership with leaders of units within the facilities and operations and the student government, have begun the complex and dynamic process of determining how to operationalize within their respective units; the need to respond to significant challenges that NIU faces, particularly regarding fiscal responsibility and the recruitment and retention of students.

What it means for this board to operationalize these goals is unique in many ways. We're a group of eight individuals, we're tied in no particular way to any academic or operational unit. Seven of us are appointed by the Governor for six year terms, one of us is a student who's elected by the student body. Our role is to set policy and establish strategy in partnership with the president and to hold leaders accountable for the exercise in policy and the achievement of strategy. But like most leaders in this room, our activities across the span of last year began with a first phase and that was to join the campus wide effort to focus the university in its core mission and challenges. President Baker's keystone goal of creating a system to insure student career success has focused the university and its external committee stakeholders on the importance of recruitment and retention and the related pillars of financial and programmatic sustainability, ethic inspired leadership, and thriving communities. The board realized that these are not merely words. Endeavors within these categories were massive, multi-faceted undertakings that require the capacity to identify and describe problems in vision and invent new approaches, develop a support among stakeholders, and engage in external and internal diplomacy that addresses anxiety occasioned by transformation. We expect as a board that there will be push back. We're in the midst of a cultural change. For the board, that culture change has had specific implications that have formed a second phase of activity for us. We've had to examine our structure and consider how best to facilitate and align the work of the governing board with that of the university. Aligning the work of the governing board and the university is always a complex endeavor for a board that is consciously seeking to properly function in relationship to university leadership. In my judgment, we've made a lot of progress in this regard.

Those of you have attended board meetings for many years know that meetings over the course of the last year have involved lively exchanges about strategic choices we have before us. Trustees have

focused more than ever on the relationship between limited resources and strategic outcomes. We've had disagreements, we've had split votes. We've not been afraid to postpone action when we believe we need more information. We've also enacted rather dramatic shifts in board structure including some steps that we've taken that have never been taken before.

When President Baker first assumed the presidency, we began the implementation of immediate changes. We created a new committee on compliance, audit, risk management and legal affairs. And we empanelled the boards first ad hoc committee on sponsored research and technology transfer which has evolved in only the course of one year into the Legislative Affairs Research and Innovation Committee, which is now a standing committee. Structural change at this level is not easy. I've talked to many of you about this personally. I could have done a lot of different things with several summer weekends one year ago rather than work on all of these changes and devise all of these memorandums and descriptions of committees and charges. It's not easy. Once we set these changes in motion we knew we would face some soul searching, moments of truth, and decisive events that occasion all serious commitments to change.

So now, like so many of you, we're operating in a midst of a third phase which I will characterize as awareness that we are pivoting from listening, learning and formulating a vision toward actions that address the critical key challenges of fiscal sustainability and declining enrollment. Our expectation is that this pivot will be accompanied by the formation of a system of control and management guided by critical imperatives, benchmarks, and indicators. It is apparent to us that the continued pursuit of an environment committed to fair treatment, transparency, and effectiveness and the development of exciting and innovative new curricular and academic offerings will prove essential to the success of these central endeavors. It is in this context I'm pleased to announce that the board is initiating and extension of our activities in two directions that are intended to increase our capacity and sharpen our focus. The first is a focus on governance; the second is a focus on enrollment. This year the board will delve deeply in these subjects in separate ad hoc committees.

In terms of governance we'll focus on our own practices and relationship with university level priorities. This improvement initiative will be collaborative, aimed at determining the means the board will employ to establish goals and measure progress, the appropriate occasions and formats for regular consultation, the constructive role of the board and committee leaders in developing agendas and the possibilities for preparation and presentation of information in advance of formal consideration action items. In short, we are seeking to establish among all relevant leaders a shared vision of and commitment to meaningful board engagement. I've prepared a more specific charge for this ad hoc committee on governance and enrollment which I'll describe in a moment which I'm presenting to the board this morning and I'm pleased to provide anyone who is at this meeting. These parameters are general in nature and we may determine changes are in order as we commence our work.

For most people in this room the issue of enrollment is more directly relevant to your responsibilities. The board believes this is the core challenge facing the university and should form the crux of the board's formal strategic dialog with the university administration. The formation of an ad hoc committee on enrollment immerses out of discussions between President Baker and trustees regarding the central importance of enrollment and the prospect of several board level proposals, particularly of a capital nature that relate directly to the enrollment challenge. This committee will receive background information on the nature and extent of the enrollment challenge, produce recommendations for board level action intended to positively impact enrollment, and develop metrics for accountability purposes and building an infrastructure to receive and act on metrics related to enrollment. My memorandum which you're welcome to read goes into more detail about what some of those subjects might look like. I've asked Trustee Strauss to chair the committee. President Baker has agreed to function directly in the liaison role. So there is a strong connection between academic affairs, student affairs and personnel aboard standing committee and the ad hoc committee we've consulted with Trustee Marshall and he plans to serve on the committee as well. Any other trustees interested may as well.

These additional committees will address matters that require extended analysis and discussion beyond

our current standing committee meetings and beyond what we're currently configured to accommodate. So I think these will result in a dramatic improvement for us structurally. I wanted to share these two developments with you and the context into which we are moving in this direction because I wanted to share with all of you that we are also engaged in an effort to operationalize goals and strategies and we hope that you are as enthusiastic as we are about that future. And so that concludes my lengthy remarks. Probably the longest you'll ever hear from me on any subject, but it's the beginning of the year, I thought it was important to share that with you. I'm very proud to serve on this board with these outstanding colleagues and I'm very pleased with the progress that we're making.

7. PUBLIC COMMENT

Chair Butler asked Mr. Blakemore if the board had received any timely requests to address this board, and Mr. Blakemore indicated that he had received two requests. The first was Ms. Misty Haji-Sheikh and Chair Butler recognized her and asked that she reserve her remarks to five minutes.

Ms. Haji-Sheikh rose to the microphone and provided the full disclaimer that I am on the county board, but today I'm not speaking for the county, I am here to speak about something called Greenways and Trails. That is something that the county is a lead agency on, it works with the city as well, and there is grant money that is involved. I did talk to the county administrator, I am here with his approval as I am here also with the approval of some of our county board members that I had the opportunity to speak with as well, and the city has been involved as well. But I am here as an individual and I'm a student too for anybody that didn't catch this when I was here a couple of weeks ago. So back 2004 this document is the Greenways and Trails plan and the idea was that we need to have connectivity, we need to celebrate the fact that we have resources here, we need activity areas for bicycling, walking, that sort of thing, So they looked at, and I was not part of the county board at this time, but they put this together and it passed in 2004. I believe it was updated once since then. And so this is an overarching thing, it's part of our county regulations, rules, documents, whatever you want to call it, but this is our guideline (Ms. Haji-Sheikh provided a copy to Chair Butler).

I don't expect you to read it right now and I did print it off my home computer. For any of the rest of you, you can get it off the county's website, under Greenways and Trails. Okay. And the reason I bring that up is because in September 2013 there was an engineering study done on our lagoon here on campus. And the study was done by a firm called WBK. And they came up with some recommendations. Now the study was paid for, I have some FOIA documents, freedom of information documents and that's where I got this information, and the FOIA documents say that they want to do things to the lagoon to dig it down three feet, change the way it looks, make it more of a detention area, and by doing that it will change the flood plain that's over on John and Harrison back toward the lagoon. Okay, fine. My neighbors would love not to be in a flood plain. I guarantee you that. But what they came up with has a problem with Greenways and Trails. So I took the liberty of having this blown up yesterday. This is one of the maps that is there to orient you. This is Lincoln Highway. This is the Kish River here and this is Lucinda. This is Castle here. The current lagoon is approximately this area here. That's the nice lagoon that we have now. And my understanding is that this whole area here would be the part that would be lowered three feet so that this part over here, which is John and Harrison, would be out of the flood plain. Okay, so far so good. Where this touches the Greenways and Trails are these other lines that go through here. Greenways and Trails talks about the bike trail that goes right along the river, it goes down here, and the grant money is to take it under Lincoln Highway and to carry it on south so we have connectivity with the parks and things down there by Lions Park and Prairie Park and those things. And so we have already started work underneath the bridge. We being the county and the city. I should say they did, I didn't do it. And there's a bed of rocks down there, if you go and look, it's already down there. And so they've been working on grant money and they are activity working on this. Gary Hanson checked with the city yesterday or the day before just to make sure that I'm on target with this. The problem with this map though as far as Greenways and Trails, is that the bike trail now no longer goes underneath the bridge. It now goes this way. And so I wanted to bring this to your attention, and it is in your packet and it is on line as well, so that you know that I'm sure the county and the city would like to speak with you about this and maybe there's a different model that we can use, a different map we can use, a different

idea we can use, that will still support the Greenways and Trails that's been on the books since 2004. Thank you.

Chair Butler called for any questions and there were none. He then recognized the second speaker, Mr. Derek Van Buer and asked that he also limit his comments to five minutes.

Mr. Van Buer indicated that I was here at the May 29th Finance and Operations sub-committee meeting and during that discussion the interim CFO presented that she found 65.1 million dollars that could be used on the strategic initiatives and this money come out of the Build America bonds and bond reserve funds. During the conversation or presentation, she said that by state rules, NIU had too much money in these reserve funds. And during the conversation not once was I brought up about the official document when the bonds were issued. On Tuesday afternoon I e-mailed each of you the actual document that contains it and what's relevant in the document that bond issue is Appendix C which are the definitions and summaries and provisions of the bond resolution. And in that appendix is the section on the flow of funds, describes how the funds come into the ancillary systems to produce incomes, how they can be spent, the establishment of all these bond reserve funds, and finally what happens when there is surplus and the end of the year. In it there are details, three of the things that you can do with the funds. Basically, pay the bonds, pay the bonds, and pay the bonds. And so I just wanted to bring it to your attention that before you spend these funds you might want to go back and review to bond issuance document because if might be the control documents. And I should also say as a disclaimer I'm not giving legal advice. I'm a graduate of the College of Law at NIU. I'm an undergrad graduate of NIU too, but I just wanted to bring it to your attention of this document that was not talked about at the May 29th committee meeting. Thank you.

Chair Butler asked for any questions, and there were none. He then thanked both speakers for coming and supplying us with that information. We take seriously what people bring to us and send to us and we'll take seriously what you've said today.

8. REPORTS OF BOARD COMMITTEES AND BOARD LIAISONS

Chair Butler then moved to the next agenda item, which was the reports of board committees and liaisons.

a. Executive Committee

Chair Butler began with the executive committee which did not meet. He then called for a report from the chair of the Academic Affairs, Student Affairs and Personnel Committee, Trustee Robert Marshall.

b. Academic Affairs, Student Affairs and Personnel Committee

Trustee Marshall reported that the Academic Affairs, Student Affairs and Personnel Committee met on August 28, 2014. At that meeting the committee considered and endorsed the following action items: an honorary doctorate recommendation for Miss Francis Whitehead; a request to delete the emphasis in General Physical Education Grade 6-12 teacher certification within the Bachelor's of Science education in Phys Ed. The committee also heard very informative reports on year 2013 through 2014 faculty emeritus recognition; an overview of the NIU international activities for fiscal year '14; a report on Title IX and NIU and I would like to add that I am very impressed as a learner of all of the committee reports that contain so much invaluable information. Thank you. That concludes my report.

Chair Butler thanked Trustee Marshall and called for the report of the Finance, Facilities and Operations Committee, which is chaired by Trustee Marc Strauss.

c. Finance, Facilities and Operations Committee

Trustee Strauss stated that the Finance, Facilities and Operation Committee met on the same day. There are a number of items that are contained on the agenda today that came out of that committee. In order

to make sense of them, simply keep track of the fiscal year. So we have three fiscal years that were before the committee and three fiscal years that are here today. We had reports for the conclusion of FY14 that appear as items B 1,2,3 & 4 on our agenda. We have several items relating to FY15 which we're in the middle of now including the internal budget with is item 9A1 as well as the approval of some expenditures in 9A5, 6 & 7 and some reports. And we have some action on 2016 because of the state budget cycle is even in advance of our budget cycle and we had some filings that are required with the state now, those items show up under 9A2, 3 & 4. All of these items that are on our agenda today passed out with recommendation from the Finance, Facility and Operations Committee. That concludes my report.

Chair Butler thanked Trustee Strauss and asked for the report of the Compliance, Audit, Risk Management and Legal Affairs Committee, which is chaired by Trustee Robert Boey.

d. Compliance, Audit, Risk Management and Legal Affairs Committee

Trustee Boey stated that the Compliance, Audit, Risk Management and Legal Affairs Committee met on Thursday, August 28th at 9:00 a.m. The committee proceedings began with the swearing in of Student Trustee Paul Julion by Board Chair John Butler. Mr. Julion was warmly welcomed by the committee and all members of the Board of Trustees. The committee then considered two agenda items. The first was an update on Title IX compliance issues and requirements presented by Karen Baker, Associate Vice President for Administration and HR Compliance and Nikita Carrington the Assistant Director for Training and Development. The second agenda item focused upon the responsibilities of the university's Risk Management Office. This presentation was made by Sarah Cliff the Director for Risk Management and internal investigations and Emily Hochstatter, the Deputy Title IX Coordinator for Human Resource Services.

Chair Butler thanked Trustee Boey and asked for the report from the Legislative Affairs, Research and Innovation Committee, which is chaired by Trustee Cheryl Murer who was not in attendance. Dr. Lesley Rigg provided the report.

e. Legislative Affairs, Research and Innovation Committee

Dr. Rigg reported that the Legislative Affairs and Research and Innovation Committee met on August 28, 2014. At the meeting Trustee Murer announced the hire of Dr. Anna Quider as the NIU Federal Relations Director and that she would be formally introduced at the board meeting on November 6th. Following Trustee Murer's announcement, I presented the federal relations update. Following the federal relations update Assistant Vice President Mann presented the committee an update on state budget and legislative issues including an update on the FY15 budget. This reduction represents, or the reduction which was .24 percent reduction, represents a much leaner amount than was originally predicted. The final item of the meeting was a presentation of the accountability portion of the drilling down on the research enterprise presentation which began with Dr. Freeman in the previous meetings, followed by a presentation by Julie Crouch in previous meetings as well. And then I briefly summarized the previous presentations and finished drilling down into the research enterprise.

Chair Butler thanked Dr. Rigg and then asked President Baker for his report concerning the Illinois Board of Higher Education.

f. Illinois Board of Higher Education

President Baker indicated that he didn't think the board had met since our committee meeting so no report there. We did have the regional P20 higher education group together. It's a regional P20 group that's looking at how we partner in this region to align from P to 20. The Center for P20 Studies put that on and a number of board members including the executive director was there. Very supportive of our work to try and integrate the pipeline activities and we will come back together after the first of the calendar year to take the next step there, but that's our interaction with the board.

Chair Butler thanked President Baker and then called for the board's representative to the University Civil Service Merit Board, Trustee Robert Marshall, to present his report.

g. Universities Civil Service Merit Board

Trustee Marshall reported that the Merit Board met on August 20, 2014 in Urbana, IL. In the one personnel action item, the board upheld an executive director's decision to bar a job applicant from advancing past the qualifying exam. Cause, after irregularities were observed during the exam by both the exam assessor and the proctor. In other items that were action items, approval was given to the 2016 budget proposal to be forwarded to Illinois Board of Higher Education; approval for revisions of our procedure manuals; and approval of the no weapon policy for the agency office. Update reports were also given on the police series assessment center revisions, ongoing revisions of the exemption procedures manual, and a review of recent audits completed since the last merit board meeting. Regular reports included those from the Administrative Advisory Committee, the Employee Advisory Committee, the Human Resource Directors Advisory Committee, and the Executive Director and Legal Council reports from the agency. The next meeting is scheduled for 10:00 a.m. Wednesday, November 19th in Urbana.

Chair Butler thanked Trustee Marshall and then recognized the Vice President of University Advancement, Michael Malone, concerning the Northern Illinois University Foundation.

h. NIU Foundation

i. Northern Illinois Alumni Association

Mr. Malone explained that my colleague, Joe Matty, had to leave to catch a plane, but I think he e-mailed you and President Baker to note the last two pre-game receptions at our away games were some of the most successful we've had. More than a thousand reconnected alumni at the Northwestern and UNLV pre-game receptions. Those brave souls in Nevada came out in 100 degree heat and not an exaggeration, it was 100 degrees and yet they had a great time. They socialized and met university staff, faculty and students; and reconnected with each other. It's a great opportunity for us collectively as the university to get university alumni from around the country reconnected to the university as it is today. I gave a preliminary estimate on our earnings on investments in the endowment pool at the last meeting that you and indicated that it appeared they'd be about 18.3 percent. The lagging alternatives are now in and the investment earned on our endowment pool for the fiscal year ending June 30 is actually going to be 20.4 percent which I think will put us in the top quartile nationally among universities and really stands pretty well given the fact that our endowment remains relatively modest and we're competing against multi- billion dollar endowments like Harvard. We've beaten Harvard four out of the last five years by the way in terms of endowment return. So very proud of the volunteer committee that works on our behalf in terms of reviewing suggestions for allocation and also managers and also our group that we pay to advise us on those investments, a fund evaluation group. In the foundation and development area we've been really working on preparing as much infrastructure as we can for the transition in leadership. We have scrubbed the database. We've added another screening, a wealth capacity screening to our database called Donor Cast which is a proprietary software screen by Bentz Whaley Flessner who we've engaged to do some work for us. We are currently beginning a review of the prospect coordination policy. This is the policy by which all the units in the university need to agree on the rules of engagement for prospects and donors. Who will ask, who will cultivate, what they will be asked for as you know many donors have multiple interests in the university. It may be athletics, it may be arts, but they may be a college of business grad as well. So we need a policy that we all agree to that sorts that out and the goal is to obviously ask for the gift that will result in the biggest donation to NIU in general. We're going to be reviewing that with President Baker, senior cabinet, the deans, athletic director, etc. We have a policy in place, we're just looking at best practices at this point. We've begun very preliminarily to talk with university leadership about capital projects that might be part of the next campaign. It's not too early to begin planning and developing some program statements for those and every major gift officer is going through a portfolio review right now where we go name by name and go through the portfolio and put on and maybe put in the parking lot some that have not been very active over the past 18 months and then add some new names to each gift officers portfolio that we call the discovery pool where they need to make a contact with those individuals within the next six months. I've been doing personal visits to

major donors to our first campaign, True North. My goal is to meet personally with every individual that made a very significant gift to True North. I've obviously been in the Chicago area, I've been in Massachusetts, Texas, next week I'm in Florida, on the west coast of Florida. I have trips planned to Missouri and to New York just basically to thank them for what they've done, but also to prepare the way for the new person at the helm of both the division and the foundation. One visit did result in an estate commitment of a million dollars. We'll announce that a little bit later because we're still discussing details with the donor. A couple I think when we finally get around to announcing it, it will be in excess of that number. We've had some great responses. I think their excited about the direction that the university is headed. Most of the gifts you get in your second campaign will be from donors you already know and donors who have already given. I think there is a bit of a concern that they not be forgotten, okay that just because a name changes on letterhead that they won't be forgotten and we need to keep as many tethers attached to our major donors from the university and the community as possible. And that concludes my report.

Chair Butler thanked Mr. Malone, indicating that his comments regarding the pre-game events provided some information on Northern Illinois University's Alumni Association, and he asked if Mr. Malone had anything else to add to that on behalf of Mr. Matte.

Mr. Malone stated that Joe and the Alumni Association are also involved in obviously building out the intern and mentor program with alumni and actually Joe was heading up the search for meeting and academics base in Chicago as well as sort of other duties as assigned.

Chair Butler indicated that Joe did talk to me about the possibility that he wouldn't be able to be here if our meetings went longer than expected and he's doing good work for the university in his travels. Chair Butler then moved to the Northern Illinois Research Foundation, which was presented by Vice President for Research and Innovation, Lesley Rigg.

j. Northern Illinois Research Foundation

Dr. Rigg explained that the NIU Research Foundation board met last Thursday, September 11th in the evening at Hoffman Estates. Various updates were received by the board on IP activities and other research activities here at NIU. It was also the annual meeting where all the elections of directors and appointed officers are made. At this meeting I was voted the new president of the research foundation and my term began immediately following that September 11th meeting. Dr. Freeman, who was the outgoing president, has been retained in an administrative appointment on the board and will continue to collaborate with me in the future on many of the issues that were initiated during her time there. We very happily voted three new outside board members to the board. They are Dr. Robert Kephart who is the director of IARC at Fermi Lab. The board has maintain representation from Argon National Lab and noted that NIU has a strong collaboration with Fermi Lab and that having a representative from both ANL and Fermi Lab made a lot of sense and was also very important for the research mission of our institution. Eric Wasowicz who is an investor and entrepreneur and an NIU alum, who's actively involved on the campus through entrepreneurship course in the College of Business and to serve us on the college board. Eric has hosted workshops on entrepreneurship for the NIU Foundation's Venture grant process and is currently serving on the Innovation and Entrepreneurship Committee which is being chaired by Dr. Lisa Freeman. The third member that was brought on was William F. Martewski who is an NIU alum and the owner of the law office of William F. Martewski which is currently located in Chicago. William is a successful NIU alum with a background in tax law and an interest in giving back to the NIU community through his participation on the NIRF board. It was a very good meeting and we're looking forward to the next year.

Chair Butler thanked Dr. Rigg and all those who presented reports. I appreciate everyone preparing such thoughtful observations and input.

UNIVERSITY RECOMMENDATIONS FORWARDED BY THE BOARD COMMITTEES

Agenda Item 9.a.(1) – Fiscal Year 2015 Internal Budget

Chair Butler called for a motion to approve the items in President's report No. 94 that were approved to be considered as part of the consent agenda. Trustee Strauss moved and Trustee Boey seconded. There was no discussion and the motion passed. Chair Butler then recognized President Baker.

President Baker began by saying a couple words about the semester roll out. We're just a few weeks into it now, but I want to thank the faculty and the staff of the university for such an amazing roll out. We thought a lot about it in the spring and a lot of people took up arms so to speak and went to work and I really appreciate that hard work. There was a different buzz this year than last year and it's because the faculty and staff took it on. They thought about it and they went out and did it and a lot of people took initiative and leadership at their local levels to make a difference and I thank all of them so very much. I got scores of letters, e-mail letters from moms and dads saying I've moved my kids in somewhere else and this was by far the best experience I've ever seen. It was effortless, we felt welcomed as parents and our students did as well. And that whole weekend, that first start up weekend was amazing from the march out to the parents and family welcome at the convocation center to the dance that we had that night that was a big deal; and even the president's picnic down here by the lagoon was great fun and I hope nobody goes and looks at pictures of Sean Frazier and me paddling around in the lagoon, but it was great fun and I'm convinced that may have been the first time some of the students were in a canoe and then were out of a canoe and then were in a canoe and then were out of a canoe, but it was great fun to rediscover the lagoon one of the most beautiful places we have on campus. We have great assets here and it was wonderful to see that buzz. We had students performing for about four hours on stage down there and the talent was amazing and some of the freshmen that I was talking to there would say those are students. They were just amazed by the talent we had on the stage and some of the upper class students, juniors and seniors that were there, told me I've never been down here before, this is beautiful, I didn't know it was here. So I think some of the efforts that we took to try and get the university to rediscover the water and the university has happened; and it is a beautiful place. And I see Ron back here, that was one of the ideas that came out of the ideas that came out of the design shred this spring. Thank you Ron for helping lead that effort, a number of those things. And to Bill and his crew for all the hard work to get the banners up and to get the posters up and clean up the MLK Mall so it's an inviting place. A lot of good work got done in a short period of time. Thank you very much. So that does link to 9A1 and this is the internal budget and I want to extend thanks again in this case to the interim Vice President for Finance, Nancy Suttentfield, to Lisa Freeman and to their staffs who worked so hard; and also to IT. I guess Brett just left, but we had an IT staff member involved as well, that worked so hard to pull together a budget and a budget process, budget principles that were widely shared across the university. It was an enormous effort and it's gotten us to a balanced budget in a strategic way and I want to thank them and propose to you that this budget be approved.

Chair Butler called for a motion to approve the internal budget, which was moved and seconded. He asked for any discussion and went on to state that he wanted to say thank you also to the individuals who worked on this, particularly Nancy Suttentfield for the time and the effort and your colleague in this Dr. Freeman. It was something that we discussed extensively at the committee level and I wanted to make sure that we marked the moment that we supported it as a board. This is the fiscal sustainability portion of the priorities that I spoke about earlier and I think that in supporting this budget we are demonstrating our appreciation for the hard work that's gone into it. This is, for those of you who might be new to this and I don't think there are anyone here who are, this is a revolutionary document. There's a lot of information in here that we've never seen before, that's never come before this board, and it's out there for everybody to read and consider and to debate and it's a level of transparency that I'm very proud of for this board and for this administration.

Chair Butler called for a vote and there was no opposition so the internal budget was approved.

ITEMS DIRECTLY FROM THE PRESIDENT

Agenda Item 9.c.(1) – Permanent Easement for Prairie Professional Park LLC

President Baker continued with 9.c.1 and that's the permanent easement for Prairie Professional Park LLC. So if you'll turn to page 147 or look at the single blown up version of this map that you've got in front of you at your table, you'll not a big pink square and a yellow portion at the bottom called the Monsanto Campus. So to orient you, the Monsanto Campus is across the street from Kishwaukee Hospital and that's where we have the Monsanto Building and there's a picture on page two of what it physically looks like. Prairie Professional Park would like to put four parking stalls in and those are in the bottom left corner, the pink area, and right below that is a little blue slice, so those four rectangles in that pink area are parking stalls to orient you to the rough size of it. And the blue area is a little sliver of land that they would like to be able to drive across to put the cars in the parking slots. So they're asking for an easement to allow them to drive their cars there and this is not land that we're using or plan to use and it's a little tiny sliver, so being good citizens, we would recommend to you the approval of the easement of this land to allow them to use those parking stalls and drive across.

Chair Butler called for a motion to approve this item. The motion was made by Trustee Boey and seconded by Trustee Iosco. Chair Butler called for any discussion on this motion and there was none. A roll call vote on this motion was necessary, so Ms. Banks-Wilkins called the roll call vote.

Ms. Banks-Wilkins: Trustee Boey

Trustee Boey: Yes.

Ms. Banks-Wilkins: Trustee Iosco

Trustee Iosco: Yes

Ms. Banks-Wilkins: Trustee Marshall

Trustee Marshall: Yes

Ms. Banks-Wilkins: Trustee Strauss

Trustee Strauss: Abstain

Ms. Banks-Wilkins: Student Trustee Julion

Student Trustee Julion: Yes

Ms. Banks-Wilkins: Chair Butler

Chair Butler: Yes.

Chair Butler indicated that the motion passed and called for the next item.

Agenda Item 9.c.(2) – Delegation of Authority to Implement the Illinois Smoke-Free Campus Act

President Baker continued to the next item, which is in response to the legislature passing and the governor signing a ban on smoking on public university campuses and so we need to comply with this by next summer and we're required to put together a committee. And this asks you to delegate to me the authority to set up the committee to put this piece of legislation in place on our campus. So I would move that you approve the delegation of authority to me bring this policy into action and implement here at the university.

Chair Butler called for a motion to approve the delegation of authority to the President to put in motion a community task force and all that comes after that to implement this important piece of legislation, which was given by Trustee Strauss and seconded by Trustee Boey. Chair Butler asked for any discussion on the motion and there was none so the motion passed.

President Baker thanked the Trustees and I noted that he was looking at this in two ways. One is the enforcement piece of course and getting the information out on that, but the other is this is an educational opportunity for us. We know smoking is harmful to health and this is an opportunity for us to use as an education tool and to help with smoking cessation programs for faculty, staff and students and so we're going to engage the resources on campus, the people with expertise in this, to see if we can help with that activity and not just police this into submission, but see if we can improve the overall

health with is the underlying intent I think of this kind of legislation. Thank you for the authority to do that and Marc if we need somebody to help we'll know who to call.

Agenda Item 9.c.(3) – Grant and Contract Awards

President Baker moved to the next item, which was an information item, and these are the grants and contract that have been awarded since our last meeting and this is an information item and we'd be happy to answer any questions on the luminous grants and contracts that you have in front of you.

Chair Butler asked if the Trustees had any questions on the grants and contracts items and there was none so President Baker concluded his report. Chair Butler noted that his report had been eliminated.

CHAIR'S REPORT NO. 67

Chair Butler noted that these items were removed from the agenda.

Agenda Item 10.a. – Benchmarking Review and Modifications to Achieve More timely and Efficient Contracting and Procurement: Resolution to Approve Modifications

Agenda Item 10.a.(1) – Amendment to Board of Trustees Regulations Section V.B.2. – Financial Affairs Obligation of Financial Resources

Agenda Item 10.a.(2) – Amendment to Board of Trustees Regulations Section VI.A.2. – Physical Facilities – Real Property

Agenda Item 10.a.(3) – Amendment to Board of Trustees Regulations Section VII.F.1. – Legal Proceedings and Actions

OTHER MATTERS

Chair Butler noted before asking for any other matters that Vice-President Nicklas will have retired by the next board meeting and that a more formal statement would be made at the December meeting. He then asked if there were any further matters for discussion.

NEXT MEETING DATE

Chair Butler indicated that there were no other matters and that the next meeting date of this board is December 4, 2014. He thanked all for their patience and stated that we respect very much your respect for us and the time that you give to these meetings and thank you again for coming.

ADJOURNMENT

Chair Butler called for a motion to adjourn the meeting. Trustee Strauss motioned and it was seconded by Trustee Iosco. There was no discussion and a call was made. All agreed and the meeting was adjourned 1:20 p.m.

Respectfully submitted,

Sharon Banks-Wilkins
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.